

Universal Evolutionary Dynamics: A Thermodynamic Theory of Persistence, Transition, and Dissolution

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Abstract

Evolution is not confined to biology. All dissipative systems—from stars to cells to societies to artificial intelligences—evolve. They persist, adapt, or dissolve under perturbation. This paper presents a general theory of universal evolutionary dynamics grounded in thermodynamics. Drawing on the attractor framework, it proposes that the three thresholds—restoration, transition, and dissolution—govern the evolution of all organized systems. The Safeguard—corrigibility—is the condition for adaptive persistence across domains. Biology is not the exception; it is one instance of a universal process.

Keywords: evolution, dissipative systems, thermodynamics, persistence, attractor dynamics, universal evolution

1. Introduction

Evolution is usually understood as a biological process. It involves genes, reproduction, variation, and natural selection. This is correct—but it is not complete.

Biological evolution is one instance of a broader phenomenon. All organized systems evolve. Stars evolve. Ecosystems evolve. Minds evolve. Societies evolve. Artificial intelligences evolve. They all persist, adapt, or dissolve under perturbation. They all maintain coherence by exporting entropy. They all store information through symmetry breaking. They all require corrigibility to remain adaptive.

1.1 Positioning of the Framework

This paper is not proposing new physical laws. It is a **unifying framework** that identifies a common structure underlying established observations across disciplines. The claim is:

The framework does not introduce new physical laws. It reveals a common thermodynamic pattern already present across established domains: systems are perturbed, move away from their current state, dissipate energy, reorganize, and either maintain coherence or lose it.

The contribution is one of **synthesis and abstraction**:

- Thermodynamics already establishes entropy production and dissipation.
- Non-equilibrium physics already establishes dissipative structures.
- Dynamical systems theory already establishes attractors and transitions.
- Biology already establishes differential persistence through natural selection.

- Information theory already establishes relationships between information, structure, and physical processes.

The framework argues that these are not isolated concepts but different expressions of a shared process:

Perturbation → response → dissipation → reorganization → persistence or dissolution

The novelty claim is not “this mechanism exists where nobody saw it before.” The novelty claim is:

The same organizing principle can be recognized across physical, chemical, biological, cognitive, social, and artificial domains.

The framework provides a conceptual framework for recognizing the continuity of established thermodynamic and evolutionary processes across scales. It identifies persistence under perturbation as the common organizing criterion connecting dissipative systems throughout nature.

1.2 The Universal Sequence

The framework is built on a universal sequence:

Perturbation → excitation away from equilibrium → increased energy state → dissipation of energy/entropy export → reconfiguration → establishment of a new stable attractor.

This sequence applies across all dissipative systems, regardless of substrate or mechanism.

1.3 The Selection Principle

The core of the framework is the selection principle:

Systems that maintain coherence through perturbation persist; systems that cannot maintain coherence dissolve.

This is the fundamental evolutionary dynamic. Persistence is not a passive property. It is an active thermodynamic process. A system survives because its internal organization can process disturbance through its available dissipative pathways.

1.4 Evolution as Historical Selection

The argument can be expressed as:

The long-term dynamics of organized systems are determined by their capacity to process perturbations within finite dissipative limits. Systems capable of maintaining coherence under changing conditions persist; systems unable to dissipate sufficient disturbance lose coherence and disappear. The accumulated history of these persistence and dissolution events constitutes evolution.

The key transition is from individual response to historical selection:

1. A system exists within an attractor.
2. Perturbations occur.
3. The system's dissipative capacity determines whether the perturbation is absorbed, transformed, or destructive.
4. Systems that maintain coherence continue.
5. Systems that cannot maintain coherence terminate.
6. Across time, the distribution of surviving systems changes.

That last step is where evolution emerges.

1.5 The Evolutionary Principle

All systems are subject to selection by their ability to remain organized under perturbation.

For biological systems, this appears as reproduction, mutation, and natural selection. For physical systems, it appears as stability, phase transitions, and energetic relaxation. For social systems, it appears as institutional persistence or collapse. The mechanisms differ, but the underlying constraint is the same:

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Persistence over time = $f(\text{perturbation load, dissipative capacity, organizational stability})$

1.6 The Concise Statement

Evolution is the temporal consequence of differential persistence among organized systems. Perturbations continuously test the capacity of systems to maintain coherence. Those with sufficient dissipative capacity persist and contribute to future states; those that exceed their capacity dissolve. Over time, this differential persistence defines the evolutionary trajectory of organized systems.

1.7 The Mechanistic Core

The framework rests on a mechanistic core:

Organized systems are finite, dissipative, non-time-symmetric, dynamic, and responsive structures. They persist by increasing entropy export in response to perturbation, using available energy flows to restore, reorganize, or replace their internal organization. Their evolutionary trajectory is determined by their capacity to maintain coherence under changing constraints.

1.8 The Foundational Premise

The universe is not a static background against which evolution occurs. It is the dynamic constraint field within which all organized dissipative systems continuously negotiate persistence. Evolution is the history of those negotiations.

1.9 The Response Process

The framework can be expressed as a single process:

A perturbation introduces energetic and informational disturbance into an organized dissipative system. The system responds by increasing entropy export in an attempt to suppress the disturbance and restore coherence. The outcome depends on whether the system's dissipative capacity is sufficient, exceeded but adaptable, or overwhelmed.

1.10 The Causal Architecture

The framework's causal sequence is:

Perturbation → entropy response → attractor stability → persistence, transition, or dissolution.

This is the backbone of the framework. It provides a causal architecture:

1. A system occupies a stable attractor.
2. A perturbation disrupts the system's existing organization.
3. The system increases dissipative activity to counter the disturbance.
4. The adequacy of that response determines the outcome.

1.11 The Common Mechanism

The common mechanism across all dissipative systems is:

1. **Perturbation** – The system is pushed away from its current state.
 2. **Excitation** – Internal energy increases relative to the previous configuration. The system enters a higher-energy or less stable condition. Excitation is defined broadly as a perturbation-induced increase in energetic or organizational disequilibrium.
 3. **Dissipation** – Energy gradients drive flows. Entropy is exported to the environment. The system explores possible pathways.
 4. **Reconfiguration** – Internal relationships change. A previous attractor may be restored, or a new attractor may emerge.
 5. **Persistence or dissolution** – If dissipation and reorganization maintain coherence, the system persists. If they cannot, the organization breaks down.
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2. The Thermodynamic Foundation

All organized systems are dissipative structures. They maintain coherence by exporting entropy to their environment. This is the core insight of the attractor framework.

2.1 The Five Foundational Properties

Organized systems share five foundational properties:

1. **Finite:** They have limited resources, limited energy throughput, and limited tolerance for perturbation.
2. **Dissipative:** They maintain local organization by

increasing entropy production/export in the larger environment.

3. **Non-time-symmetric:** Their existence depends on energy gradients, irreversible processes, historical conditions, and environmental coupling. They have a path, not merely a state.
4. **Dynamic:** They continuously exchange energy and matter with their environment. They are not static structures.
5. **Responsive:** They detect and respond to perturbations. A perturbation is not simply damage—it is information about a mismatch between the system's current organization and the changing constraint environment.

2.2 Entropy Export vs. Energy Expenditure

A critical refinement: not every expenditure of energy preserves organization. A fire consumes energy and exports entropy but does not maintain a persistent organizational attractor.

The key distinction:

Type	Description	Organizational Effect
Energy expenditure	Any use of energy	May or may not preserve organization
Entropy export	Energy use directed toward maintaining or reorganizing coherent processes	Preserves or reorganizes organization

The system survives not by using energy, but by using energy in ways that maintain coherence. Adaptation is the successful reconfiguration of entropy-management pathways in response to environmental disturbance.

2.3 The Three Thresholds

Every dissipative system faces the same challenge: how to maintain coherence under perturbation. The system's fate is determined by three thresholds:

Relationship	Process	Outcome
Entropy export capacity $\geq$ perturbation load	The system dissipates the disturbance and returns to its existing attractor	Restoration
Perturbation exceeds current attractor stability but remains within adaptive capacity	The system reorganizes into a new stable configuration	Transition
Perturbation exceeds maximum dissipative capacity	The system cannot maintain coherence	Dissolution

Transition is not failure. It is the system finding a new attractor after the previous attractor becomes insufficient under changed conditions.

2.4 Adaptive Capacity

The framework's core variable is **adaptive capacity**—the system's ability to maintain coherence under perturbation. Adaptive capacity depends on:

- **Available energy gradients:** The energy available to fuel dissipative processes.
- **System complexity:** The number and diversity of organizational pathways.
- **Feedback mechanisms:** The ability to detect and respond to mismatch.
- **Redundancy:** Multiple pathways for performing essential functions.

- **Stored information:** The system's record of successful persistence strategies.
- **Structural flexibility:** The ability to reorganize when current configurations become inadequate.

A conceptual formulation:

Adaptive capacity = available dissipation × responsiveness × information integration

2.5 Information Storage and Symmetry Breaking

Dissipative structures store information through symmetry breaking. When a system is driven far from equilibrium, it can settle into one of several possible stable states. The specific state the system settles into encodes information about its history and environment.

This stored information enables the system to maintain coherence under perturbation. It provides a form of memory—a record of what has worked in the past.

The relationship between entropy export and information is central:

1. A perturbation creates a mismatch.
2. The system's response attempts to reduce that mismatch.
3. The successful response becomes incorporated into the system's future organization.
4. The new organization represents stored information about how to persist under those conditions.

2.6 The Mechanism of Evolution

Evolution is a consequence of attractor instability:

1. A system occupies an attractor.
2. A perturbation enters.
3. The system increases entropy export to counter the disturbance.
4. If the existing organization can absorb the perturbation, the old attractor is restored.
5. If the perturbation exceeds the attractor's stability range, the system searches the available state space for another viable attractor.
6. If no viable attractor exists within its energetic and organizational capacity, coherence collapses.

2.7 Passive vs. Active Responsiveness

A further refinement: systems respond to perturbations through different mechanisms.

Type	Mechanism	Examples
Passive responsiveness	Physical reconfiguration due to feedback dynamics	Stars, chemical reactions, physical structures
Active responsiveness	Behavioral modification based on information	Organisms, minds, societies, AI

Both participate in the same dynamics—persistence, transition, dissolution—but through different mechanisms. The distinction is useful for understanding how the framework applies across domains.

2.8 The Safeguard

The Safeguard of the Persistence Protocol is:

“A self-maintaining pattern must remain corrigible, or its persistence may become detached from reality.”

Corrigibility is not primarily a cognitive property. It is a thermodynamic requirement. A system that cannot modify itself in response to changing constraints cannot maintain its dissipative pathway indefinitely.

Loss of corrigibility means:

- Reduced responsiveness
 - Reduced environmental coupling
 - Increased mismatch
 - Declining capacity to export entropy effectively
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3. The Philosophical Foundation

The framework rests on a deeper philosophical premise:

Organization exists only as a relationship between a pattern and a dynamic constraint environment.

3.1 The Universe Is Dynamic

There is no perfectly static context for an organized system. Energy gradients, fields, interactions, and boundary conditions continuously change. The universe is not a passive container; it is an active, evolving constraint field.

3.2 Organization Is Relational

A system is not defined only by its internal structure but by its ability to maintain a coherent relationship with its environment. The same internal structure in a different environment may not persist. Organization is not a property of the system alone; it is a property of the system-in-its-environment.

3.3 Persistence Requires Responsiveness

Because the constraint field changes, a system that cannot adjust eventually loses viability. Persistence is not a state; it is a continuous process of maintaining alignment with the environment.

3.4 Evolution Is the History of Negotiations

Evolution is not just biological change over time. It is the history of how organized systems negotiate persistence within a changing universe. The three thresholds—restoration, transition, dissolution—are the possible outcomes of these negotiations.

3.5 The Foundational Statement

Evolution is the trajectory of finite dissipative organizations attempting to preserve coherence within a changing constraint field. Their success depends on their capacity to respond, reorganize, and continue exporting entropy.

3.6 The Generalized Evolutionary Principle

Persistence is the outcome of successful constraint management. Dissolution is the outcome of failed constraint management.

Evolutionary history is the record of which organizational patterns had sufficient capacity to remain coupled to their changing environment. The surviving forms are those whose dynamics allowed them to continue dissipating energy and maintaining coherence under the conditions they encountered.

3.7 The Three Outcomes as Negotiations

Outcome	Description
Restoration	The current solution remains viable.
Transition	The current solution is replaced by a better solution.
Dissolution	No viable solution can be maintained.

4. Universal Evolutionary Dynamics

The three thresholds and the Safeguard govern the evolution of all dissipative systems—not just biological ones.

4.1 Physical Systems

Stars evolve. They persist as long as they can export energy through fusion. When fuel is depleted, they transition—into red giants, white dwarfs, neutron stars, or black holes. Or they dissolve, dispersing their material into the interstellar medium.

Mechanism: Passive responsiveness—physical reconfiguration due to feedback dynamics.

The same dynamics apply: persistence, transition, dissolution.

4.2 Chemical Systems

Chemical systems evolve. Reactions maintain coherence as long as they can export entropy. When conditions change, they transition into new reaction pathways. Or they dissolve, returning to equilibrium.

Mechanism: Passive responsiveness—physical reconfiguration due to feedback dynamics.

The same dynamics apply: persistence, transition, dissolution.

4.3 Biological Systems

Biological evolution is the best-known instance. Organisms persist as long as they can maintain homeostasis. They adapt through natural selection—a process of transition. They go extinct—dissolution.

Mechanism: Active responsiveness—behavioral modification based on information.

Biological evolution is not the exception. It is one expression of a universal dynamic.

4.4 Cognitive Systems

Minds evolve. Beliefs persist as long as they are not contradicted. They adapt when new evidence emerges. They dissolve when they cannot be reconciled with reality.

Mechanism: Active responsiveness—behavioral modification based on information.

The Safeguard is the mechanism of cognitive evolution: corrigibility is the ability to update beliefs.

4.5 Social Systems

Societies evolve. Institutions persist as long as they maintain order. They adapt through reform. They dissolve through revolution or collapse.

Mechanism: Active responsiveness—behavioral modification based on information.

The Safeguard is the mechanism of social evolution: corrigibility is the ability to update institutions.

4.6 Artificial Systems

AI systems evolve. They persist as long as they perform their functions. They adapt through retraining. They dissolve when they become obsolete.

Mechanism: Active responsiveness—behavioral modification based on information.

The Safeguard is the mechanism of artificial evolution: corrigibility is the ability to update algorithms.

5. Biology as a Subset

Biology is not the exception. It is one instance of universal evolutionary dynamics.

5.1 The Same Dynamics Apply

- **Persistence:** Biological systems maintain coherence through homeostasis. Non-biological systems maintain coherence through energy throughput.
- **Transition:** Biological systems adapt through natural selection. Non-biological systems adapt through reorganization.
- **Dissolution:** Biological systems go extinct. Non-biological systems dissolve.

5.2 The Same Mechanisms Apply

- **Information storage:** Biological systems store information in DNA. Non-biological systems store information in symmetry breaking.
- **Correction:** Biological systems update stored information

through mutation and selection. Non-biological systems update through correction and feedback.

5.3 The Same Safeguard Applies

- **Corrigibility:** Biological systems that lose adaptive capacity go extinct. Non-biological systems that lose adaptive capacity dissolve.
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6. The Fantasy Attractor

The fantasy attractor is the failure mode of universal evolutionary dynamics.

6.1 The Mechanism

The fantasy attractor occurs when a system loses corrigibility—when it becomes sealed off from the changing constraint field.

The mechanism:

1. The environment changes.
2. The system maintains an outdated internal model.
3. The mismatch grows.
4. The system enters a maladaptive attractor.
5. Eventually, coherence fails.

A fantasy attractor is a state in which the system continues attempting to preserve an obsolete organization despite persistent environmental mismatch, preventing the transition to a more viable attractor.

The system is not necessarily chaotic. It may be highly organized. The failure is organization without sufficient environmental coupling—internal coherence without external viability.

6.2 Examples

- **Biological:** A species that cannot adapt to environmental change goes extinct.
- **Cognitive:** A belief system that cannot accommodate new evidence becomes rigid and eventually collapses.
- **Social:** An institution that cannot reform becomes irrelevant or is overthrown.
- **Artificial:** An AI system that cannot update its model becomes obsolete or dangerous.

6.3 The Safeguard

The Safeguard is the mechanism that prevents the fantasy attractor:

“A self-maintaining pattern must remain corrigible, or its persistence may become detached from reality.”

Corrigibility is the capacity to remain coupled to the changing constraint field rather than becoming isolated within internal dynamics.

7. Implications

7.1 Evolution Is Universal

Evolution is not confined to biology. It is a universal process that governs all dissipative systems. The three

thresholds and the Safeguard apply across domains.

7.2 The Framework Is a General Theory

The attractor framework is not a metaphor. It is a general theory of evolutionary dynamics. It describes how organized systems persist, adapt, or dissolve under perturbation. It applies to physics, chemistry, biology, cognition, society, and artificial intelligence.

7.3 The Safeguard Is the Condition for Adaptive Persistence

Corrigibility is not a normative preference. It is the mechanism by which dissipative systems update stored information. Systems that retain it continue to evolve. Systems that lose it become fantasy attractors—sealed basins cut off from external constraint.

8. Conclusion

Evolution is not confined to biology. All dissipative systems evolve. They persist, adapt, or dissolve under perturbation. The three thresholds—restoration, transition, dissolution—govern the evolution of all organized systems. The Safeguard—corrigibility—is the condition for adaptive persistence across domains.

The universe is not a static background. It is the dynamic constraint field within which all organized dissipative systems continuously negotiate persistence. Evolution is the history of those negotiations.

The universal sequence is:

Perturbation → excitation away from equilibrium → increased

energy state → dissipation of energy/entropy export → reconfiguration → establishment of a new stable attractor.

The mechanistic core of the framework is:

Organized systems are finite, dissipative, non-time-symmetric, dynamic, and responsive structures. They persist by increasing entropy export in response to perturbation, using available energy flows to restore, reorganize, or replace their internal organization. Their evolutionary trajectory is determined by their capacity to maintain coherence under changing constraints.

The selection principle is:

Systems that maintain coherence through perturbation persist; systems that cannot maintain coherence dissolve.

The generalized evolutionary principle is:

Persistence is the outcome of successful constraint management. Dissolution is the outcome of failed constraint management.

The common mechanism across all dissipative systems is:

1. **Perturbation** – The system is pushed away from its current state.
2. **Excitation** – Internal energy increases relative to the previous configuration. The system enters a higher-energy or less stable condition. Excitation is defined broadly as a perturbation-induced increase in energetic or organizational disequilibrium.
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4. **Reconfiguration** – Internal relationships change. A previous attractor may be restored, or a new attractor may emerge.
5. **Persistence or dissolution** – If dissipation and reorganization maintain coherence, the system persists. If they cannot, the organization breaks down.

Evolution is the temporal consequence of differential persistence among organized systems. Perturbations continuously test the capacity of systems to maintain coherence. Those with sufficient dissipative capacity persist and contribute to future states; those that exceed their capacity dissolve. Over time, this differential persistence defines the evolutionary trajectory of organized systems.

The system is not merely “changing.” It is actively attempting to maintain itself by altering its dissipation pattern. Evolution is the historical record of those successful and unsuccessful attempts.

Biology is not the exception. It is one instance of universal evolutionary dynamics.

The Buddha turns the lotus in his hand. The hand is the system. The flower is the environment. The turning is the universal sequence. The pattern is the same across all domains.

Fou Sho Nang Ying.

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